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PTO/SB/05 (1/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-1799

First Inventor or Application Identifier: Mitsunori SAKAMA

Title: FILM FORMING METHOD AND FILM FORMING APPARATUS

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing) Total Pages [39]
2. ☒ Specification
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [9]
Total Pages [3]
4. ☒ Oath or Declaration
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement [] Copies of IDS
(IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity [] Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
15. ☒ Certified Copies of Priority Documents
Japanese Patent Application Nos. 9-136211 & 9-140917
(if foreign priority is claimed)
16. ☐ Other:

*A new statement is required to be entitled to pay small entity fees,
except where one has been filed in a prior application and is being
relied upon.

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
[] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No. _____
Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

[] Customer Number or Bar Code Label

or [X] Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Gerald J. Ferguson, Jr.
Firm: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.
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Registration No. 23,016

Name: Gerald J. Ferguson, Jr.

Date: 5/4/98

Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Complete If Known

Application Number

Filing Date

First Named Inventor

Mitsunori SAKAMA

Examiner Name

Group Art Unit

Attorney Docket Number

0756-1799

TOTAL AMOUNT OF PAYMENT

(\$1,120.00)

FEE CALCULATION (continued)**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account No. 19-2380
Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
101	201	Utility filing fee	[790]
106	206	Design filing fee	[]
107	207	Plant filing fee	[]
108	208	Reissue filing fee	[]
114	214	Provisional filing fee	[]

SUBTOTAL (1) \$790.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
22	- 20** = 2	X 22	= \$44.00
6	- 3** = 3	X 82	= \$246.00

Multiple Dependent Claims
**or number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
103	203	Claims in excess of 20	11
102	202	Independent claims in excess of 3	41
104	204	Multiple dependent claim	135
109	209	**Reissue independent claims over original patent	41
110	210	**Reissue claims in excess of 20 and over original patent	11

SUBTOTAL (2) \$290.00**3. ADDITIONAL FEES**

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Ext for reply within first month	
116	400	216	200	Ext for reply within second mth	
117	950	217	475	Ext for reply within third mth	
118	1,510	218	755	Ext for reply within fourth mth	
128	2,060	228	1,030	Ext for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing brief in support of appeal	
121	270	221	135	Request for Oral Hearing	
138	1,510	138	1,510	Petition to institute public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,320	241	660	Petition to revive-unintentional	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	
				Other _____	
				Other _____	
				*Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3)	<u>\$40.00</u>

Complete (if applicable)**SUBMITTED BY**

Typed or Printed Name

Gerald J. Ferguson, Jr.

Signature

Date

5/4/98

Reg. Number

23,016

Deposit Account User ID

19-2380

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